

PTO/SB/01 (06-03)

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and
CORRESPONDENCE ADDRESS
INDICATION FORM**

Application Number	09/916,247
Filing Date	July 30, 2001
First Named Inventor	COTE
Art Unit	1723
Examiner Name	MENON, Krishnan S.
Attorney Docket Number	4320-347

I hereby appoint:

☒ Practitioners at Customer Number

1059

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/95)

SIGNATURE of Applicant or Assignee of Record

Name Pierre Lucien Cote, Chief Technology Officer, Zetron Environmental Inc.

Signature

Date

January 21, 2004

Telephone

(805) 465-3030

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/58 (08-00)

Approved for use through 07/31/2006. CMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: COTE et al.Application No./Patent No.: 09/916,247Filed/Issue Date: July 30, 2001Entitled: CHEMICAL CLEANING BACKWASH FOR NORMALLY IMMERSSED MEMBRANESZenon Environmental Inc.a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____

To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3. If the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

January 21st, 2004

Date

(905) 466-3030

Telephone Number

Pierre Lucien Cote

Typed or printed name

Signature

Chief Technology Officer,
Zenon Environmental Inc.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8189 and select option 2.

**UNITED STATES
ASSIGNMENT**

WHEREAS WE, **PIERRE LUCIEN COTE, HAMID R. RABIE, NICHOLAS ADAMS, HIDAYAT HUSAIN, HENRY BEHMANN, STEVEN KRISTIAN PEDERSEN** and **JASON CADERA**, whose full post office addresses are 26 Tally-Ho Drive, Dundas, Ontario L9H 3M5, Canada; 2665 Thomas Street, Unit 33, Mississauga, Ontario L5M 6G4, Canada; 37 Kipling Road, Hamilton, Ontario L8S 3X2, Canada; 88 Porteous Circle, Brampton, Ontario L6S 5C5, Canada; RR #1, Puslinch, Ontario N0B 2J0, Canada; 498 Rothesay Place, Burlington, Ontario L7N 3E2, Canada; and, 25 Camm Crescent, Guelph, Ontario N1L 1K2, Canada, have invented certain new and useful improvements in an invention entitled **CHEMICAL CLEANING BACKWASH FOR NORMALLY IMMERSSED MEMBRANES** for which an application for United States Letters Patent was filed on July 30, 2001 as serial No. 09/916,247.

AND WHEREAS, Zenon Environmental Inc., a corporation of Ontario, of 3239 Dundas Street West, Oakville, Ontario L6M 4B2, Canada, has acquired from us the whole right, title and interest for the United States of America and all other countries in and to the said invention and in and to any Letters Patent that may be obtained therefor, and in and to said application.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of all of which is hereby acknowledged, we, **PIERRE LUCIEN COTE, HAMID R. RABIE, NICHOLAS ADAMS, HIDAYAT HUSAIN, HENRY BEHMANN, STEVEN KRISTIAN PEDERSEN** and **JASON CADERA**, by these presents confirm that we have sold, assigned and transferred and do hereby sell, assign and transfer unto the said Zenon Environmental Inc., the full and exclusive right to the said invention in the United States of America and all other countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor, and the entire right, title and interest in and to said application, and in and to any divisions, continuations, continuations-in-part and extensions of said application, together with the right to claim the benefit of the right of priority provided by the International Convention for

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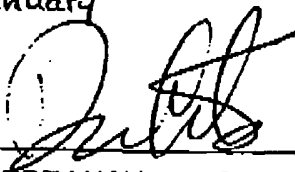
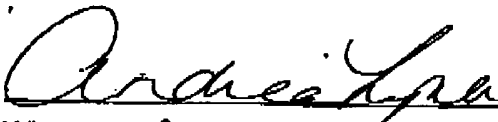

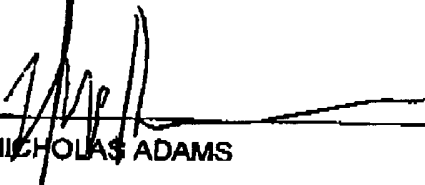

the Protection of Industrial Property based on said application for United States Letter Patent.

We agree that we will without further consideration do all such things and execute all such documents as may be necessary or desirable to obtain and maintain patents for said invention and for additions and modifications thereto in any and all countries, and to vest title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Zenon Environmental Inc., the assignee of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made.

The undersigned hereby grant(s) the firm of Bereskin & Parr (Box 401, 40 King Street West, Toronto, Ontario, Canada M5H 3Y2) the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

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SIGNED this 20th day of January, 2004, at Oakville, ON
Witness PATRICIA RUIZ
PIERRE LUCIEN COTESIGNED this 19th day of January, 2004, at Burlington
Witness ANDREA LYNCH.
HAMID R. RABIESIGNED this 20th day of January, 2004, at Oakville, ON
Witness PATRICIA RUIZ
NICHOLAS ADAMSSIGNED this 20th day of January, 2004, at Oakville, ON
Witness PATRICIA RUIZ
HIDAYAT HUSAINSIGNED this 20th day of January, 2004, at Oakville, ON
Witness Patricia Ruiz
HENRY BEHMANN

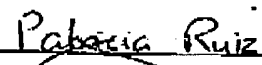
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SIGNED this 20th day of January, 2004, at Oakville, ON.


Witness PATRICIA Ruiz


STEVEN KRISTIAN PEDERSEN

SIGNED this 19th day of January, 2004, at Oakville, ON.


Witness PATRICIA Ruiz


JASON CADERA